

# Correctness of Speculative Optimizations with Dynamic Deoptimization

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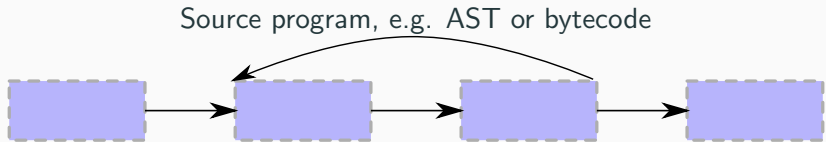
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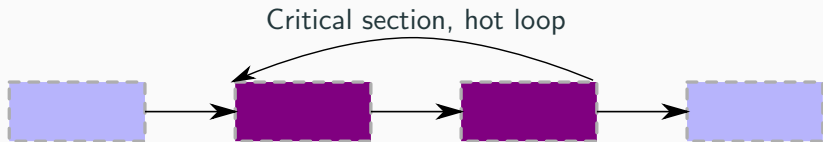
# Context

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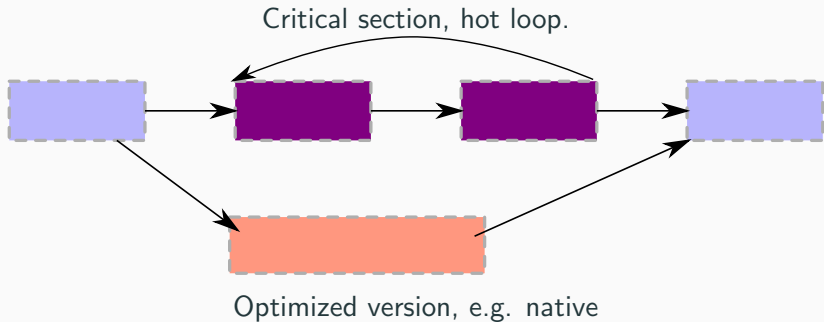
# Just-in-time compilation: Deoptimization



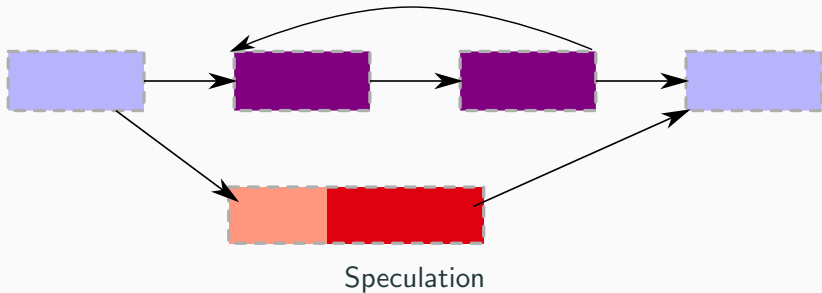
# Just-in-time compilation: Deoptimization



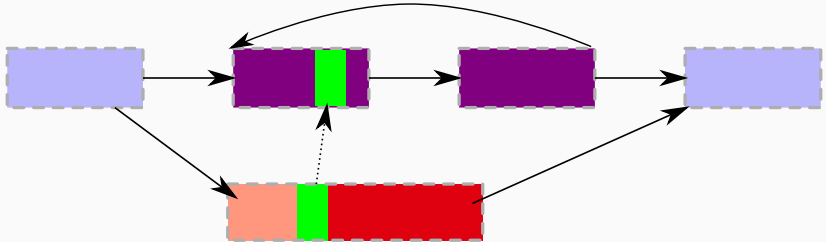
# Just-in-time compilation: Deoptimization



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Deoptimization/OSR point

Case study: V8 and speculation

Sourir: modeling deoptimization

Optimizations in sourir

Formalization



yield

## Example: JS Array Representation in V8

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// Considers only the first element  
function eq(x) {  
    return x[0] === 42  
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## Example: JS Array Representation in V8

*// Considers only the first element*

```
function eq(x) {  
    return x[0] === 42  
}
```

*// Array of length 3*

```
var x = [42, 1, .2]
```

*// Sparse array of length 3 with element 1 undefined*

```
var x = [42];    x[2] = .2
```

yield

# Compiler Correctness?

Multiple **versions** need to be considered.

Speculation requires keeping **deoptimization metadata**.

Difficulty: **intra-version** optimizations in the presence of **inter-version** controlflow.

Research Question: **Interaction** between deoptimization points and compiler optimizations.

yield

**Sourir**

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What does a JIT entail?

- High- and low-level representations
- Dynamic code generation
- Deoptimization metadata and supporting optimizations

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- ~~High and low level representations~~      One single language
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# HelloWorld

```
fun(c)
  Vluck
  |   assume c = 41 else fun.Vtough.L1 [c = c, o = 1]
  |   print 42
  Vtough
  |   var o = 1
  |   L1 print c + o
```

# Assume

```
fun(c)
  Vluck
  | L0 assume c = 41 else fun.Vtough.L1 [c = c, o = 1]
  | L1 print 42
  Vtough ...
```

**assume**  $e^*$  **else** fun.Vver.L  $[x_1 = e_1, .., x_n = e_n]$

**Predicates:** list of boolean conditions  $e^*$

**Metadata:**

**where** fun.Vver.L (unique location)

**how**  $[x_1 = e_1, .., x_n = e_n]$  (frame at bailout target)

# Optimization: Constant Propagation

1)

```
var o = 1  
assume c = 41 else F.V.L [c = c, o = o]  
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# Optimization: Constant Propagation

1)

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var o = 1  
assume c = 41 else F.V.L [c = c, o = o]  
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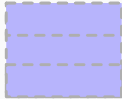
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assume c = 41 else F.V.L [c = c, o = 1]  
print c + 1
```

3)

```
assume c = 41 else F.V.L [c = c, o = 1]  
print 42
```

yield

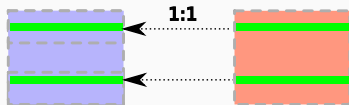
## Baseline Version



# Speculation Pipeline

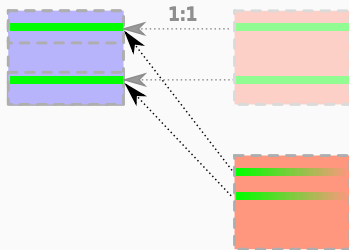
## Establish Invariants

Copy Version: Assumes are trivial



## Preserve Invariants

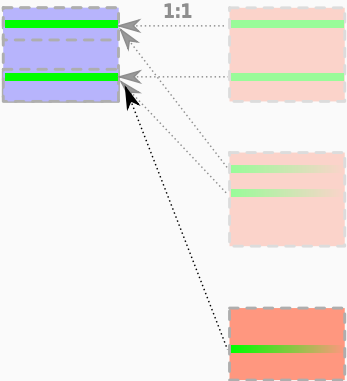
### Optimizations



# Speculation Pipeline

## Finally

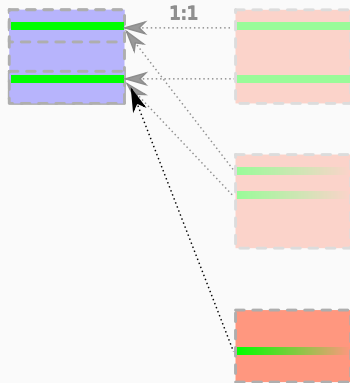
Most Optimized & Baseline Version



# Speculation Pipeline

**Finally**

Equivalence result: Most Optimized & Baseline Version



Explicit instruction for deoptimization

Invariants between versions

Optimizations are easy to adapt



# Formalization

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## Execution: Operational semantics

Configurations:

$$C ::= \langle \text{PILK}^* ME \rangle$$

Actions:

$$A ::= \text{read } lit \mid \text{print } lit \quad A_\tau := A \mid \tau \quad T ::= A^*.$$

Reduction:

$$C_1 \xrightarrow{A_\tau} C_2 \quad C_1 \xrightarrow{T}^* C_2$$

## Execution: A Peek

$$\frac{I(L) = \mathbf{branch} \ e \ L_1 \ L_2 \ M \ E \ e \rightarrow \mathbf{true}}{\langle PILK^* \ ME \rangle \xrightarrow{\tau} \langle P I L_1 K^* \ ME \rangle} \quad [\mathbf{BRANCHT}]$$

## Execution: A Peek

[BRANCHT]

$$\frac{I(L) = \mathbf{branch} \ e \ L_1 \ L_2 \ M \ E \ e \rightarrow \mathbf{true}}{\langle PILK^* ME \rangle \xrightarrow{\tau} \langle P I L_1 K^* ME \rangle}$$

[PRINT]

$$\frac{I(L) = \mathbf{print} \ e \ M \ E \ e \rightarrow \mathit{lit}}{\langle PILK^* ME \rangle \xrightarrow{\mathbf{print} \ \mathit{lit}} \langle P I (L+1) K^* ME \rangle}$$



## Deoptimization invariants

**Version equivalence** All versions of a function are equivalent.

(Necessary to replace the active version)

**Assumption transpance** Bailing out **more** than necessary is correct.

(Necessary to add new assumptions)

yield

# Optimization Pipeline: Create a new Version

...  $\xrightarrow{A_\tau}$  **print x**

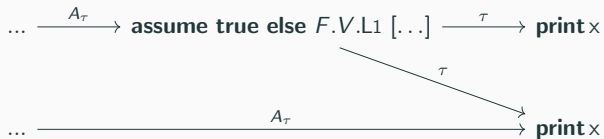


# Optimization Pipeline: Create a new Version

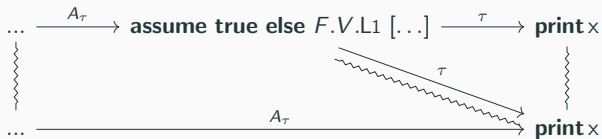
...  $A_\tau$  → **print x**

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All you need for speculation: versions + checkpoints

Correctness of Speculative Optimizations with  
Dynamic Deoptimization (POPL' 18)

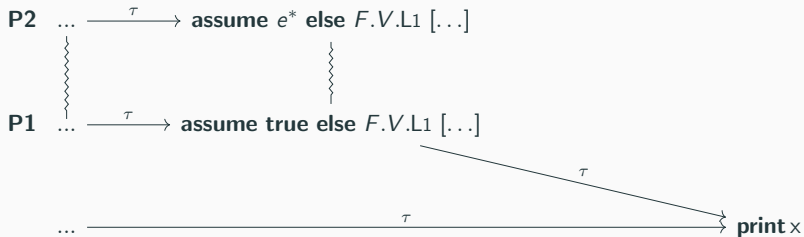
<https://arxiv.org/abs/1711.03050>

<https://www.o1o.ch/talk-sourir-rmod.pdf>

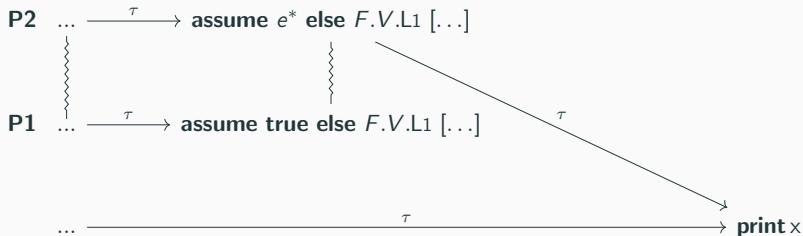
## **Advanced Topics**

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# Adding more assumptions



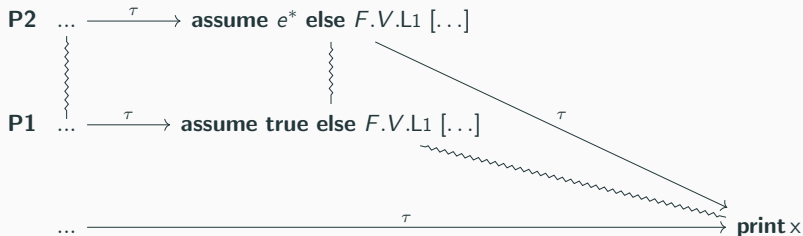
## Adding more assumptions



Is deoptimizing in P2 correct, even if P1 does not deoptimize?



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Is deoptimizing in P2 correct, even if P1 does not deoptimize?

Yes, because of assumption transparency in P1.

## How many deoptimization points are necessary?

Deoptimization points are expensive. How many are necessary?

Should assume be split into framestate and guard instructions?  
(unrestricted deoptimization)

# Unrestricted deoptimization is just a transformation

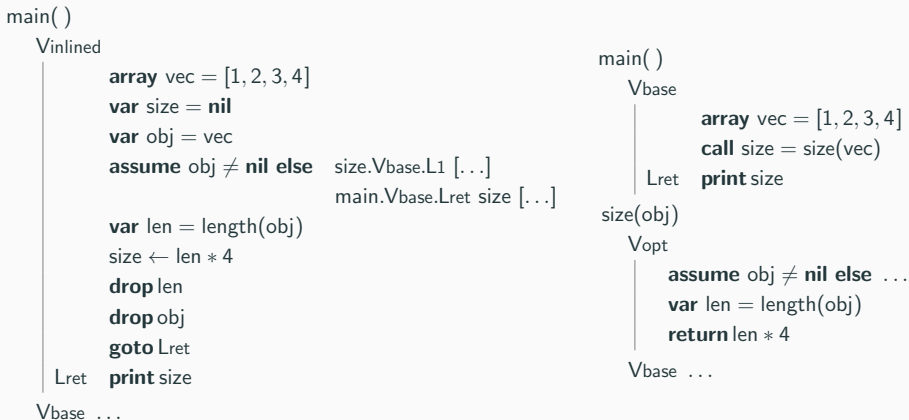
before:

```
    assume true else size.Vb.L0 [x = x]  
    branch x = nil L2 L1  
L1  x ← x[0]  
    return x * el  
L2  ...
```

after:

```
    var x0 = x  
    branch x = nil L2 L1  
L4  x ← x[0]  
    assume x = 1 else size.Vb.L0 [x = x0]  
    return 1 * el  
L3  ...
```

# We can inline with deoptimization points



Need for an extra frame in the inlined version



experimental validation

bidirectional transformations